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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5 Applicant: Kuei-Jung, Lee
Filing Date: 09/01/2004
Examiner: DANG, KHANH
Art Unit: 2111
APPL No.: 10/711,196
10 Docket. No.: WNCPO003USA4
Confirmation No.: 5195

Title: PERIPHERAL DEVICE HAVING A PERSONAL DISK USED
FOR STORING DEVICE DRIVERS AND DRIVING METHOD
15 THEREOF

To: The Commissioner for Patents
P.O. BOX 1450
Alexandria, VA 22313-1450
20

Subject: Information disclosure statement Under
37C.F.R. §1.56.

25 Dear Sir:

This is an Information Disclosure Statement in accordance
with the duty to disclose information material to
patentability under 37 CFR §1.56. The applicant wishes to make
30 of record each of the documents listed on the accompanying
form PTO/SB/08.

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Since the IDS is filed before the mailing date of a final Office action on the merits, consideration of the information disclosure statement is hereby requested according to 37C.F.R. §1.97(c). The listed pre-grant publications are cited
5 by the State Intellectual Property Office of the People's Republic of China on 2006/06/09 for a counterpart Chinese application #03152555.5, therefore each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in
10 a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Applicant sincerely hopes that the examiner can consider the items contained in the information disclosure statement.

15 According to the requirement set forth in 37 CFR §1.98 and MPEP 609, applicant is submitting a copy of items contained in the IDS: Chinese Publications #CN1343917A (published on 2002/04/10), #CN1449169A (published on 2003/10/15), #CN1553319A (published on 2004/12/08).

20

The Chinese Publication #CN1343917A has a counterpart US patent publication #2004/0267987. The English abstracts of the Chinese Publications #CN1449169A and #CN1553319A published in the European Patent Office are attached to this
25 IDS to comply with the IDS requirements.

30

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Respectfully submitted,

Winston Hsu

Date: SEP. 08 2006

5 Winston Hsu, Patent Agent No. 41,526
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10

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From : Winston Hsu, Registration No. 41,526

Serial No.: 10/711,196

Attorney Docket No.: WNCP0003USA4

Subject: Information Disclosure Statement (IDS)

Total Pages: 62 pages (including cover page)

Winston Hsu SEP. 08 2006

WNCP0003USA4_DI_3

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PTO/SB/21 (07-08)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/711,196	
	Filing Date	09/01/2004	
	First Named Inventor	Kuei-Jung Lee	
	Art Unit	2111	
	Examiner Name	Dang, Khanh	
Total Number of Pages in This Submission	61	Attorney Docket Number	WNCP0003USA4

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	North America Intellectual Property Corporation		
Signature	<i>Winston Hsu</i>		
Printed name	Winston Hsu		
Date	SEP. 08 2006	Reg. No.	41,526

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature	<i>Zoe Huang</i>		
Typed or printed name	Zoe Huang	Date	SEP. 08 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL **For FY 2005**

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) **0.00**

Complete If Known

Application Number **10/711,196**
Filing Date **09/01/2004**
First Named Inventor **Kuei-Jung Lee**
Examiner Name **Dang, Khanh**
Art Unit **2111**
Attorney Docket No. **WNCP0003USA4**

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____
☒ Deposit Account Deposit Account Number **50-3105** Deposit Account Name **North America Intellectual Property Corporation**

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

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FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description

Each claim over 20 (including Reissues)
Each independent claim over 3 (including Reissues)
Multiple dependent claims

Small Entity Fee (\$)	Fee (\$)
50	25
200	100
360	180

Total Claims Extra Claims Fee (\$)

- 20 or HP = x = Fee Paid (\$)

HP = highest number of total claims paid for, if greater than 20.
Indep. Claims Extra Claims Fee (\$)

- 3 or HP = x = Fee Paid (\$)
HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$)

- 100 = / 50 (round up to a whole number) x = Fee Paid (\$)

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

Fees Paid (\$)

SUBMITTED BY

Signature *Winston Hsu* Registration No. 41,526 Telephone 3027291562
Name (Print/Type) Winston Hsu Date **SEP. 08 2006**

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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